

IMPORTANT

Return the duly completed attestation before April 30, 2024 to:

National Bank of Canada
3E-500 Place d'Armes
Office 4049-1 (CDIC)
Montreal, Quebec H2Y 2W2

If we receive the attestation after April 30, 2024, it could be rejected. It would then not be valid for the current year.

To ensure that your deposits held in trust benefit from the full coverage provided by the CDIC for this category of deposit, please:

- **Complete all fields in the attestation.**
- **Print any information you enter.**

If some of the information is missing, hard to read or entered in the wrong place, the attestation could be rejected.

I am:

- A professional trustee for a sole proprietorship
 A senior executive¹ for the company acting as a professional trustee

LEGAL NAME OF THE COMPANY OR SOLE PROPRIETORSHIP:

Address _____ City _____ Province _____ Postal Code _____
 Phone No. _____ Email _____

ACCOUNTS TO DESIGNATE AS PTAs

Indicate in the table ALL Account Nos. (with their transit) that must be designated as PTAs, or attach a separate sheet with the list of Account Nos. (with their transit).

The transit is indicated to the right of the Account No. in your statement of account.

- Tick this box if you have opted to attach a separate sheet with the list of Account Nos. (with their transit).

	Account No.	Transit		Account No.	Transit
1			8		
2			9		
3			10		
4			11		
5			12		
6			13		
7			14		

Attestation

- I hereby attest that the above designated trustee is a professional trustee in accordance with the definition set out in the *Canada Deposit Insurance Corporation Act*² because they are [tick one of the boxes]:
 - The public curator (public trustee) of a province or similar public servant in charge of holding amounts in trust for others
 - A federal, provincial or municipal government, or department or agency thereof
 - A solicitor or partnership of solicitors, a law corporation, or a notary or partnership of notaries in the province of Quebec, when they act in that capacity as a trustee of moneys for others
 - A person who is acting as a trustee of moneys for others in the course of business and is required by law to hold the deposit in trust
 - A person who is acting as a trustee of moneys for others in the course of business and who is subject to the rules of a securities commission, stock exchange or other regulatory or self-regulating organization that audits compliance with those rules
 - A federal or provincial trust company acting on behalf of the depositor
- By signing below, I attest to the following:
 - The deposits in the above mentioned account(s) are held in trust by a professional trustee.
 - The trustee is not acting as a nominee broker³ with regard to these deposits.
 - The above contact information is accurate and up-to-date.
 - I would like the above mentioned account(s) to be treated as professional trustee accounts (PTAs).

Signature overleaf

Responsibilities

3. I acknowledge the following obligations of the professional trustee with regard to the accounts designated as PTAs:
- Keep in a register the names and addresses of each beneficiary of a deposit to the account as well as the amount or percentage of the deposit to which each beneficiary is entitled.
 - If the deposit stems from a special income arrangement⁴, also specify the type of arrangement as well as the name and address of the person for whom this arrangement was made.
 - Send to National Bank in April of each year an attestation regarding the PTAs as well as updated contact details, if applicable.
 - Notify National Bank if the trustee ceases to be a professional trustee or if they no longer wish their accounts to be treated as PTAs.
 - Send to the CDIC, upon request, information on the beneficiaries of the PTAs in electronic format, allowing for data extraction and processing.

Required information

4. If the professional trustee does not communicate the required information on their PTAs to National Bank no later than April 30 of each year, I understand that National Bank will cease considering these accounts as PTAs.
5. If the professional trustee does not communicate to the CDIC, at its request, the information on the beneficiaries of the above mentioned account(s), I understand that the deposit insurance coverage could be reduced or lost. If the account is no longer considered a PTA, the list of beneficiaries must be provided to the Bank, failing which the deposit insurance coverage could be reduced or lost.
6. I understand that National Bank will use the information disclosed in this form and will share it with the CDIC for the above mentioned purposes. By signing below, I consent to the information in this form, including my personal information, being used and exchanged for these purposes.

SIGNATURE

_____ **X** _____
Attestation date (YYYY MM DD) ONLY the signature of the professional trustee or senior executive

¹ With regard to a professional trustee, a **senior executive** is: **a)** a member of the board of directors, the CEO or any other person charged with similar duties to those usually performed by the person in one of these positions; or **b)** an executive reporting directly to the person targeted in paragraph a) or to the board of directors.

² You will find more information about upcoming changes regarding professional trustees on the CDIC website: cdic.ca > Financial community and trustees > For trustees > For professional trustees.

³ A **nominee broker**, within the meaning of the *CDIC Act*, is a person who is a party to an agreement or arrangement with a member institution in order to make deposits as a nominee on behalf of another person.

⁴ **Special income arrangements** are defined in division G of the *Income Tax Act*. For the purposes of deposit insurance, the *CDIC Act* recognizes cinq types of special arrangements subject to distinct coverage: 1. Registered Retirement Savings Plans (RRSP); 2. Registered Retirement Income Funds (RRIF); 3. Registered Education Savings Plans (RESP) since April 30, 2022; 4. Registered Disability Savings Plans (RDSP) since April 30, 2022; and 5. Tax-Free Savings Accounts (TFSA).